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To: **MAIL STOP RCE**
Commissioner for Patents
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Alexandria, VA 22313-1450

Date: August 11, 2004

Number of Pages: Cover + 13

FAX NO. (703) 872-9306

Attn: Examiner Jorge ORTIZ-CRIADO
Art Unit 2655

From: Caroline Do, Esq., Reg. No. 47,529

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RE Request for Continued Examination
U.S. Patent Application Serial No.:
09/932,648
TITLE: METHOD AND
APPARATUS FOR TRACKING
SERVO SYSTEM
Filing Date: August 17, 2001
Confirmation No.: 4581
Inventor: Chin-Shiong Tsai, et al.
Attorney Docket No.: DE 2312.02 US

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RE: Request for Continued Examination
U.S. Patent Application Serial No.: 09/932,648
TITLE: METHOD AND APPARATUS FOR TRACKING SERVO SYSTEM
Filing Date: August 17, 2001
Confirmation No.: 4581
Inventor: Chin-Shiong Tsai, et al.
Attorney Docket No.: DE 2312.02 US

Dear Sir:

Enclosed for filing in the above-referenced patent application are the following documents:

1. RCE Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Amendment and Response Under 37 C.F.R. §1.116 (10 pages), filed July 2, 2004;
4. Cover Letter;
5. Certificate of Facsimile, dated August 11, 2004.

Please acknowledge receipt of this transmittal.

Very truly yours,

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A handwritten signature in cursive script, appearing to read 'Caroline Do'.

Caroline Do, Reg. No. 47,529
Senior Patent Prosecution Attorney
INTELLECTUAL PROPERTY DEVELOPMENT

CD:lf

Enclosures

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I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office Fax No. (703) 872-9306 on:

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PTO/SB/17 (10-03)
Approved for use through 07/31/2008, OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR §1.27**TOTAL AMOUNT OF PAYMENT (\$)** 770.00**Complete if Known**

Application Number	09/932,648
Filing Date	August 17, 2001
First Named Inventor	Chin-Shiong TSAI
Examiner Name	Jorge ORTIZ-CRIADO
Art Unit	2655
Attorney Docket No.	DE 2312.02 US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number **04-1175**
Deposit Account Name☒ The Director is hereby authorized to: (check all that apply)☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
1001	2001	Utility filing fee	
1002	2002	Design filing fee	
1003	2003	Plant filing fee	
1004	2004	Reissue filing fee	
1005	2005	Provisional filing fee	

SUB TOTAL (1) (\$)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Large Entity	Small Entity	Fee Description	Fee Paid
1201	2201	Claim in excess of 20	
1202	2202	Independent claims in excess of 3	
1203	2203	Multiple dependent claim, if not paid	
1204	2204	Reissue independent claims over original patent	
1205	2205	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

** or number previously paid, if greater. For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
1051	2051	Surcharge - late filing fee or oath	
1052	2052	Surcharge - late provisional filing fee or cover sheet	
1053	2053	Non-English specification	
1812	2520	For filing a request for ex parte reexamination	
1804	2620	Requesting publication of SIR prior to Examiner action	
1805	2640	Requesting publication of SIR after Examiner action	
1251	2251	Extension for reply within first month	
1252	2252	Extension for reply within second month	
1253	2253	Extension for reply within third month	
1254	2254	Extension for reply within fourth month	
1255	2255	Extension for reply within fifth month	
1401	2401	Notice of Appeal	
1402	2402	Filing a brief in support of an appeal	
1403	2403	Request for oral hearing	
1451	2451	Petition to institute a public use proceeding	
1452	2452	Petition to revive - unavoidable	
1453	2453	Petition to revive - unintentional	
1501	2501	Utility issue fee (or reissue)	
1502	2502	Design issue fee	
1503	2503	Plant issue fee	
1400	2400	Petitions to the Commissioner	
1807	2807	Processing fee under 37 CFR 1.17(q)	
1806	2806	Submission of Information Disclosure Stmt.	
8021	2821	Recording each patent assignment per property (times number of properties)	
1809	2809	Filing a submission after final rejection (37 C.F.R. 1.120(a))	
1810	2810	For each additional invention to be examined (37 C.F.R. 1.120(b))	
1801	2801	Request for Continued Examination (RCE)	
1802	2802	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 770.00**SUBMITTED BY**Name Printed/Typed **Caroline Do, Esq.**Registration No. **47,529**

Attorney / Agent

Complete (if applicable)Telephone **(949)660-5000**Date **August 11, 2004**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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For: **METHOD AND APPARATUS
FOR TRACKING SERVO
SYSTEM**Docket No.: **DE 2312.02 US** **RECEIVED
CENTRAL FAX CENTER****AUG 11 2004****AMENDMENT AND RESPONSE UNDER 37 CFR §1.116**MAIL STOP AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the outstanding final Office Action mailed May 3, 2004, please amend the above-identified Application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims that begins on page 3 of this paper.

Amendments to the Drawings begin on page 6 of this paper and include an attached replacement sheet.

Remarks/Arguments begin on page 7 of this paper.

